

IMAGINE has the only all-in-one solution to manage your Open Protocol, Form PF, 40 Act, AIFMD and UCITS regulatory and compliance needs.

Simultaneously track real-time limits, perform stress tests and capture risk across the entire portfolio.

"For asset managers who do not have a traditional risk management background, or even for those that do, Imagine can help to efficiently monitor and assess risks, such as breaches in real-time, and easily evaluate exposures, conduct stress testing, and measure VaR. These are essential capabilities for firms looking to build investor confidence."

LEDBURY CAPITAL PARTNERS | UK

Risk officers, portfolio managers, administrators, custodians – all financial market participants – use Imagine's all-in-one regulatory solution to keep pace with evolving rules and mandates and to remain in compliance.

Imagine delivers up-to-the-minute data and information through custom dashboards that give users the ability to perform a range of critical functions, anytime, anywhere:

- ▶ View intra-day risk across portfolios, identify distressed situations and drill down to spot and investigate problem accounts
- ▶ Create alerts to see positions that are approaching limits
- ▶ Track the trades that keep portfolios compliant
- ▶ Integrate Imagine's "what-if" metrics to stress portfolios against a variety of scenarios
- ▶ Deliver customized risk reports for all stakeholders as needed
- ▶ Stress test to see how market events will impact portfolios

Fulfill your global reporting requirements with Imagine's completely customizable regulatory solution.

NEW YORK

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Track Data & Deliver Transparency

Imagine processes data on every listed product globally and supplies secure and reliable information to facilitate pricing, valuations, risk management and compliance reporting. With institutional-grade functionality and broad asset-class instrument support, Imagine provides comprehensive risk management for every stage of a firm's strategic development.

Our ability to slice and dice risk data enables users to pinpoint sources of breaches, monitor and archive portfolio and fund behaviors, and provide audit reconciliation. Risk and compliance managers can track sources of returns, transparency of holdings (intra-day and historic), strategy drift, correlation strategies and aggregated risk profiles. Marketers can present clearly-defined strategies to tell concise stories, with the historical data to support recommendations.

Real-Time Financial Risk Management

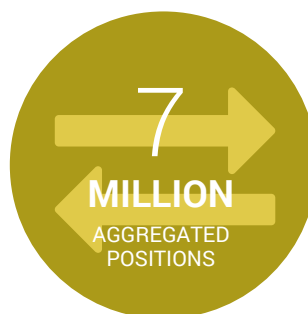
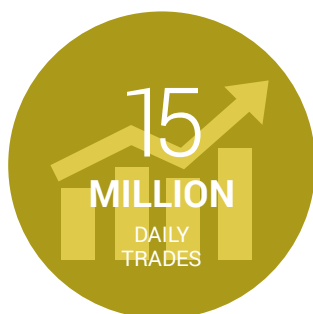
Imagine has been helping financial firms manage their risk for more than 23 years. Whether your goal is to improve portfolio performance, monitor and report global limits, perform stress tests, aggregate firm-wide risk or deliver transparency, Imagine has the integrated solutions to fit your firm.

Key Features

- Pinpoint sources of data breaches
- Track and archive portfolio behaviors
- Provide audit reconciliation
- Show transparency of holdings, including intra-day and historic
- Monitor strategy drift
- Conduct market scenario analyses
- Assess correlation strategies
- View aggregated risk profiles
- Gain robust reporting for all regulatory needs, including standard and custom reports, as well as interactive data visualizations

Asset Diversification Test		MV % NAV	Value (Abs)
Issuers - 25%		74.14%	
Issuer			
Google	14.68%	143,030	
Biogen Idec	11.09%	81,414	
ENERGY SELEC SPDR ET	3.02%	388,750	
Cisco Systems	1.50%	318,987	
Amgen	0.33%	1,501,454	
Campbell Soup	0.07%	327,551	
Dean Foods	0.07%	213,344	
Alpha Natural Resources	0.07%	70,520	
ProShares UltraShort Oil & Gas ET	0.07%	68,070	
Time	0.00%	4,503	

The limit summary tab shows positions at 24% of the net asset value.



Imagine Software provides real-time portfolio, risk management and regulatory solutions for the world's leading banks, broker dealers, hedge funds, pension funds, funds of funds and asset managers. Founded in 1993, Imagine delivers institutional-grade functionality and broad cross-asset instrument support for businesses of all size and complexity. Financial professionals around the globe rely on Imagine to launch tailored products, enter new markets, adjust business strategies and scale for growth. Contact us for more information.

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